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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/611,531 TRANSMITTAL Filing Date June 30, 2003 First Named Inventor **FORM** Venkatraman et al. Art Unit 1615 **Examiner Name** Isis A.D. GHALI (to be used for all correspondence after initial filing) Attorney Docket Number ARC2869N1 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Enclosed: Reply to Missing Parts/ 1. Return Receipt postcard; Incomplete Application 2. Transmittal form (this sheet, 1 pg.); Reply to Missing Parts 3. Supplemental Information Disclosure Statement (2 pp.); under 37 CFR 1.52 or 1.53 4. Form PTO/SB/08A (1 pg.); and

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name	ALZA Corporation				
Signature	Minne				
Printed name	Philip S. Yip				
Date	01/21/2005	Reg. No. 37,265			

5. References 1 - 4 as cited on the SB/08A form.

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Lisa McDill

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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By: Printed: Lisa McDill

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alza Corporation

Inventor(s): Venkatraman et al.

Application No.: 10/611,531

Filed: June 30, 2003

Title: TRANSDERMAL DRUG DELIVERY DEVICES

COMPRISING A POLYURETHANE DRUG

RESERVOIR

Group Art Unit: 1615

Examiner:

Isis A.D. GHALI

Attorney Docket No.: ARC2869N1

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under \$1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in \$1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action arequest for continued examination under \$1.114, no additional fee is required.

Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

 $\square$  There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750.

Respectfully submitted,

Philip S. Yip

Registration No. 37,265 Attorney for Applicant

Customer No.: 27777

ALZA Corporation

c/o Johnson & Johnson

One Johnson & Johnson Plaza, WH 3221

New Brunswick, NJ 08933

Phone: 650-564-7054 or Fax: 650-564-2195

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

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Application Number	10/611,531					
Filing Date	June 30, 2003					
First Named Inventor	Venkatraman et al.					
Group Art Unit	1615					
Examiner Name	Isis A.D. GHALI					
Attorney Docket Number	ARC2869N1					

#### **U.S. PATENT DOCUMENTS**

	Cite	U.S. Patent Document			
Examiner Initials		Kind Code <sup>z</sup> Number (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
	1	4,156,064	Falkenstein et al.	05/22/1979	
	2	5,156,911	Stewart	10/20/1992	
	3	5,294,346	Donato et al.	03/15/1994	
	4	5,310,559	Shah et al.	05/10/1994	

### **FOREIGN PATENT DOCUMENTS**

		Foreign Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, where relevant		
Examiner Initials	Cite No.1	Office <sup>3</sup> Number <sup>4</sup> KindCode <sup>5</sup>		mm-dd-yyyy	passages or relevant figures appear	<b>⊤</b> ⁵	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
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Examiner		Date		•	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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